SCC eFile **2011 ANNUAL REPORT** 211513020 **COMMONWEALTH OF VIRGINIA** (6/10)STATE CORPORATION COMMISSION DUE DATE: 6/30/2011 1.) CORPORATION NAME: NATIONAL PLANNING CORPORATION SCC ID NO: F1342932 2.) VA REGISTERED AGENT NAME AND OFFICE ADDRESS: B.E. **AUTH IN VI** 5.) STOCK INFORMATION **CT CORPORATION SYSTEM AUTHORIZED** CLASS 4701 COX RD STE 301 COMMON 10,000 **GLEN ALLEN. VA 23060** 3.) CITY OR COUNTY OF VA REGISTERED OFFICE: **HENRICO COUNTY** 4.) STATE OR COUNTRY OF INCORPORATION: DE 6.) PRINCIPAL OFFICE ADDRESS: ADDRESS: 401 WILSHIRE BLVD, STE 1100 CITY/ST/ZIP: SANTA MONICA, CA 90401-7.) DIRECTORS AND PRINCIPAL OFFICERS: All directors and principal officers must be listed. An individual may be designated as both a director and an officer. X OFFICER DIRECTOR NAME: MAURA COLLINS TITLE: SR VP/CFO ADDRESS: 401 WILSHIRE BLVD SUITE 1100 CITY/ST/ZIP/CO: SANTA MONICA, CA 90401-X OFFICER DIRECTOR NAME: THOMAS J MEYER TITLE: **SECRETARY** ADDRESS: 1 CORPORATE WAY CITY/ST/ZIP/CO: LANSING, MI 49851-OFFICER DIRECTOR NAME: JAMES LIVINGSTON TITLE: DIRECTOR ADDRESS: 7601 TECHNOLOGY WAY CITY/ST/ZIP/CO: **DENVER, CO 80237-**X OFFICER DIRECTOR NAME: LYNN NIEDERMEIER TITLE: PRES/CEO ADDRESS: 401 WILSHIRE BLVD

NAME: SCOTT FORBUSH
TITLE: VICE PRESIDENT
ADDRESS: 1 CORPORATE WAY
CITY/ST/ZIP/CO: LANSING, MI 48951-

CITY/ST/ZIP/CO:

STE 1200

SANTA MONICA, CA 90401-

X OFFICER

DIRECTOR

		X OFFICER	DIRECTOR
NAME:	SEAN HALEY		
TITLE:	1ST VP		
ADDRESS:	401 WILSHIRE BLVD		
	STE 1100		
CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-		
		X OFFICER	DIRECTOR
NAME:	KIRSTEN BOSCH		
TITLE:	1ST VP		
ADDRESS:	401 WILSHIRE BLVD		
CITY/ST/ZIP/CO:	STE 1100		
CITT/31/2IF/CO.	SANTA MONICA, CA 90401-		
N.A.B.45		X OFFICER	DIRECTOR
NAME: TITLE:	JAMES KOMOSZEWSKI		
ADDRESS:	1ST VP		
ADDRESS.	401 WILSHIRE BLVD STE 1100		
CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-		
	SANTA MONICA, CA 30401-	x OFFICER	DIRECTOR
NAME:	HILLA COATLEY	X OFFICER	DIRECTOR
TITLE:	JULIA GOATLEY ASST SECRETARY		
ADDRESS:	1 CORPORATE WAY		
CITY/ST/ZIP/CO:	LANSING, MI 48951-		
	<u> </u>	χ OFFICER	DIRECTOR
NAME:	ALFREDO GOMEZ	X	
TITLE:	ASSIST VP		
ADDRESS:	401 WILSHIRE BLVD		
	STE 1100		
CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-		
		X OFFICER	DIRECTOR
NAME:	JEFF GRIFFITH		
TITLE:	ASSIST VP		
ADDRESS:	401 WILSHIRE BLVD		
CITY/ST/ZIP/CO:	STE 1100		
CITT/31/ZIP/CO.	SANTA MONICA, CA 90401-		
N.A.B.45		X OFFICER	DIRECTOR
NAME: TITLE:	MARY K HANK		
ADDRESS:	ASSIST VP		
ADDITESS.	401 WILSHIRE BLVD STE 1100		
CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-		
	5711417 (WEINIE/1, 671 00 10 1	X OFFICER	DIRECTOR
NAME:	JAMES GARRISON	X	
TITLE:	ASSIST VP		
ADDRESS:	1 CORPORATE WAY		
CITY/ST/ZIP/CO:	LANSING, MI 48951-		
	,	X OFFICER	DIRECTOR
NAME:	RUSLAN LEVIN		
TITLE:	ASSIST VP		
ADDRESS:	401 WILSHIRE BLVD		
	STE 1100		
CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-		

			X OFFICER	DIRECTOR
	NAME:	TODD MANEVAL		
	TITLE:	ASSIST VP		
	ADDRESS:	1 CORPORATE WAY		
	CITY/ST/ZIP/CO:	LANSING, MI 48951-		
		E WONG, WILLIAMS	x OFFICER	DIRECTOR
	NAME:	DATRICIA MOCALLOR	X OFFICER	DIRECTOR
	TITLE:	PATRICIA MCCALLOP		
	ADDRESS:	ASSIST VP		
	ADDINESS.	401 WILSHIRE BLVD		
	CITY/ST/ZIP/CO:	STE 1100		
	0111/01/211/00.	SANTA MONICA, CA 90401-		
			X OFFICER	DIRECTOR
	NAME:	MICHAEL SCHAB		
	TITLE:	ASSIST VP		
	ADDRESS:	401 WILSHIRE BLVD		
	OITY/OT/7ID/OO	STE 1100		
	CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-		
			X OFFICER	DIRECTOR
	NAME:	GERRY GUNDERSON		
	TITLE:	SENIOR VP		
	ADDRESS:	212 N 4TH STREET		
	CITY/ST/ZIP/CO:	BISMARCK, ND 58501-		
			χ OFFICER	DIRECTOR
	NAME:	JOHN JOHNSON		
	TITLE:	SENIOR VP		
	ADDRESS:	401 WILSHIRE BLVD		
		STE 1100		
	CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-		
			X OFFICER	DIRECTOR
	NAME:	ALLAN AVENA		
	TITLE:	VICE PRESIDENT		
	ADDRESS:	401 WILSHIRE BLVD		
		STE 1100		
	CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-		
			X OFFICER	DIRECTOR
	NAME:	SUSAN E BOGGIONI		
	TITLE:	VICE PRESIDENT		
	ADDRESS:	401 WILSHIRE BLVD		
		STE 1100		
	CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-		
			X OFFICER	DIRECTOR
	NAME:	MADIA A CADODALE	X OFFICER	SINCE OF ON
	TITLE:	MARIA A CAPORALE		
	ADDRESS:	VICE PRESIDENT 401 WILSHIRE BLVD		
	, IDDITEOU.	STE 1100		
	CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-		
		GANTA MONICA, CA 90401-	OFFICER .	DIDECTOR
	NIAME.		X OFFICER	DIRECTOR
	NAME:	CRAIG LEWIS		
	TITLE:	VICE PRESIDENT		
	ADDRESS:	401 WILSHIRE BLVD		
	CITV/CT/7ID/CO.	STE 1100		
1	CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-		

		X OFFICER	DIRECTOR			
NAME:	MARIA J LINDSLEY					
TITLE:	VICE PRESIDENT					
ADDRESS:	401 WILSHIRE BLVD					
	STE 1100					
CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-					
		X OFFICER	DIRECTOR			
NAME:	RANDALL MILLER					
TITLE:	VICE PRESIDENT					
ADDRESS:	401 WILSHIRE BLVD					
	STE 1100					
CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-					
		X OFFICER	DIRECTOR			
NAME:	AUSTIN MOON					
TITLE:	VICE PRESIDENT					
ADDRESS:	401 WILSHIRE BLVD					
	STE 1100					
CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-					
		X OFFICER	DIRECTOR			
NAME:	MARK PAGE					
TITLE:	VICE PRESIDENT					
ADDRESS:	401 WILSHIRE BLVD					
0177/107/710/00	STE 1100					
CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-					
		X OFFICER	DIRECTOR			
NAME:	VANESSA RODRIGUEZ					
TITLE:	VP / CONTROLLER					
ADDRESS:	401 WILSHIRE BLVD					
CITY/ST/ZIP/CO:	STE 1100					
CITT/ST/ZIF/CO.	SANTA MONICA, CA 90401-					
		X OFFICER	DIRECTOR			
NAME:	DANIEL WEISS					
TITLE: ADDRESS:	VICE PRESIDENT					
ADDRESS.	401 WILSHIRE BLVD					
CITY/ST/ZIP/CO:	STE 1100					
0111/01/211/00:	SANTA MONICA, CA 90401-	OFFIGER	Pipporos			
NAME:	WENEY MASSING	X OFFICER	DIRECTOR			
TITLE:	WENDY MARTINEZ					
ADDRESS:	VICE PRESIDENT					
ADDICESS.	401 WILSHIRE BLVD STE 1100					
CITY/ST/ZIP/CO:	SANTA MONICA, CA 90401-					
		TRONIC REPORT IS	ACCURATE AND			
I AFFIRM THAT THE INFORMATION CONTAINED IN THIS ELECTRONIC REPORT IS ACCURATE AND COMPLETE AS OF THE DATE BELOW AND THAT I AM LEGALLY AUTHORIZED TO SIGN THIS REPORT.						
/s/ THOMAS J MEYER	THOMAS J MEYER, SECRI	ETARY	6/21/2011			
SIGNATURE OF DIRECTOR/OFFICER PRINTED NAME AND CORPORATE DATE						
LISTED IN THIS REPORT TITLE						
It is a Class 1 misdemeanor for any person to sign a document, which includes this electronic record, that is false in any material						

respect with the intent that the document be delivered to the Commission for filing.